Docket No. 5634.148

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

John C. Harvey and James W.

Cuddihy

Serial No.:

08/479,374

Filing Date: June 7, 1995

For:

Signal Processing Apparatus and

Methods

**Assistant Commissioner of Patents** 

Washington D.C. 20231

Group Art Unit: 2602

Examiner:

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56(a) and in conformance with the procedures of 37 C.F.R. §§ 1.97-98 and M.P.E.P. § 609, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The above-referenced application claims priority under 35 U.S.C. § 120 of the following applications:

Serial No.	Filing Date	Patent No.
08/113,329	August 30, 1993	Pending
08/056,501	May 3,1993	5,335,277
07/849,226	March 10, 1992	5,233,654
07/588,126	September 25, 1990	5,109,414
07/096,096	September 11, 1987	4,965,825

Applicants believe that all references cited on the attached PTO 1449 form were cited by or submitted to the PTO in one or more of the case cited above or in U.S. Patent Application Serial No. 06/829,531, filed February 15, 1986 now U.S. Patent No. 4,704,725, U.S. Patent Application Serial No. 06/317,510, filed November 3, 1981 now U.S. Patent No. 4,694,490 and co-pending U.S. Patent Application Serial No. 08/448,143, filed May 23, 1995. Therefore, no copies of the listed references are provided herewith. Additionally, references believed to be dated in the period from November 3, 1981 through September 11, 1987 are included in the present IDS as this application only claims priority to the September 11, 1987 filing date. It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

#### **CERTIFICATION AND/OR FEE**

Since this IDS is being filed pursuant to 37 C.F.R. § 1.97(b) before the later of three months after the filing date of the above-referenced application or the date of receipt of the first Office action on the merits, no certification or fee is required.

Respectfully submitted,

Dated: December 5, 1995

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Information Disclosure Statement by Applicant	Attorney Docket No. 5634.148	Serial No. 08/479,374
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Title: Signal Processing Apparatus and Method	Filing Date June 7, 1995	Group 2602

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	* Filing Date
	3,602,891	8/31/71	Clark et al.	340/172.5	
	3,651,471	3/21/72	Haselwood et al.	340/172.5	
	3,733,431	5/15/ <i>7</i> 3	Kirk, Jr., et al.	178/5.1	
	3,849,729	11/19/74	Van Baggem	325/31	
	3,906,450	9/16/75	Prado, Jr.	340/150	
	3,947,624	3/30/76	Miyake	178/6	
	3,947,972	4/6/76	Freeman	35/8 A	
	3,973,206	8/3/76	Haselwood et al.	325/455	
	3,987,397	10/19/76	Belcher et al.	325/308	
	4,048,562	9/13/77	Haselwood et al.	325/31	
	4,078,316	3/14/78	Freeman	35/8 A	
	4,081,832	3/28/78	Sherman	358/124	
	4,117,605	10/3/78	Kurland et al.	35/9 A	
	4,144,495	3/13/79	Metzger	325/4	
	4,218,698	8/19/80	Bart et al.	358/22	
	4,320,256	3/16/82	Freeman	179/6.04	
	4,338,643	7/6/82	Tadokoro	360/135	

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	4,369,333	1/18/83	Gemperle et al.	178/22.13	
	4,377,870	3/22/83	Anderson et al.	455/2	
	4,410,911	10/18/83	Field et al.`	358/121	
	4,414,516	11/8/83	Howard	333/21A	
	4,420,656	12/13/83	Freeman	179/6.04	
	4,422,486	12/27/83	Maret	144/117R	
	4,429,385	1/31/84	Cichelli et al.	370/92	
	4,433,211	2/21/84	McCalmont et al.	179/1.5 S	
	4,433,379	2/21/84	Schenk et al.	364/200	
	4,443,660	4/17/84	DeLong	178/22.04	
	4,449,114	5/15/84	Fascenda et al.	340/988	
	4,450,477	5/22/84	Lovett	358/86	
	4,451,700	5/29/84	Kempner et al.	179/2 AS	
	4,454,543	6/12/84	Lund et al.	358/118	
	4,454,594	6/12/84	Heffron et al.	364/900	
	4,458,109	7/3/84	Mueller-Schloer	178/22.11	
	4,458,315	7/3/84	Uchenick	364/200	
	4,460,922	7/17/84	Ensinger et al.	358/122	

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	4,461,032	7/17/84	Skerlos	455/4	
	4,462,076	7/24/84	Smith, III	364/200	
	4,462,078	7/24/84	Ross	364/300	
	4,475,153	10/2/84	Kihara et al.	364/145	
	4,475,189	10/2/84	Herr et al.	370/62	
	4,476,573	10/9/84	Duckeck	455/45	
	4,484,027	11/20/84	Lee et al.	178/22.13	
	4,484,217	11/20/84	Block et al.	358/84	
	4,486,773	12/4/84	Okubo	358/84	
	4,488,289	12/11/84	Turner	370/60	
	4,491,945	1/1/85	Turner	370/60	
	4,494,156	1/15/85	Kadison et al.	360/48	
	4,494,230	1/15/85	Turner	370/60	
	4,503,287	3/5/85	Morris et al.	178/22.08	
	4,503,538	3/5/85	Fritz	371/28	
	4,507,680	3/26/85	Freeman	358/86	
	4,509,073	4/2/85	Baran et al.	358/86	
	4,512,011	4/16/85	Turner	370/60	

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	4,513,324	4/23/85	Poetsch et al.	358/214	
	4,520,404	5/28/85	Von Kohorn	358/335	
	4,521,806	6/4/85	Abraham	358/86	
	4,527,194	7/2/85	Sirazi	358/86	
	4,531,020	7/23/85	Wechselberger et al.	178/22.08	
*	4,531,021	7/23/85	Bluestein et al.	178/22.08	
_	4,532,547	7/30/85	Bennett	358/148	
	4,533,948	8/6/85	McNamara et al.	358/122	
	4,533,949	8/6/85	Fujimura et al.	358/122	
	4,535,355	8/13/85	Arn et al.	358/123	
	4,538,174	8/27/85	Gargini et al.	358/86	
	4,539,676	9/3/85	Lucas	370/60	
	4,544,963	10/1/85	Jacoby et al.	360/40	
	4,546,382	10/8/85	McKenna et al.	358/84	
	4,546,387	10/8/85	Glaab	358/186	
	4,547,804	10/15/85	Greenberg	358/142	
	4,553,252	11/12/85	Egendorf	377/15	
	4,554,418	11/19/85	Toy	179/2 DP	

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	4,558,464	12/10/85	O'Brien, Jr.	455/4	
	4,562,306	12/31/85	Chou et al.	178/22.08	
	4,562,465	12/31/85	Glaab	358/120	
	4,562,495	12/31/85	Bond et al.	360/78	
	4,566,030	1/21/86	Nickerson et al.	358/84	
	4,567,512	1/28/86	Abraham	358/86	
	4,573,072	2/25/86	Freeman	358/86	
	4,573,151	2/25/86	Jotwani	370/56	
	4,574,305	3/4/86	Campbell et al.	358/86	
·	4,575,750	3/11/86	Callahan	358/86	
	4,577,289	3/18/86	Comerford et al.	364/900	
	4,580,165	4/1/86	Patton et al.	358/148	
	4,583,128	4/15/86	Anderson, Jr. et al.	358/302	
	4,584,641	4/22/86	Guglielmino	364/200	
	4,586,134	4/29/86	Norstedt	364/200	
	4,588,991	5/13/86	Atalla	340/825.31	
	4,589,064	5/13/86	Chiba et al.	364/200	
	4,590,516	5/20/86	Abraham	358/86	

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	4,591,248	5/27/86	Freeman	352/133	
	4,591,664	5/27/86	Freeman	179/6.06	
	4,591,906	5/27/86	Morales-Garza et al.	358/84	
	4,592,546	6/3/86	Fascenda et al.	273/1 E	
	4,593,376	6/3/86	Volk	364/900	
	4,595,950	6/17/86	Löfberg	358/122	
	4,596,021	6/17/86	Carter et al.	375/5	
	4,597,058	6/24/86	Izumi et al.	364/900	
	4,598,288	7/1/86	Yarbrough et al.	340/825.34	
	4,599,611	7/8/86	Bowker et al.	340/721	
	4,599,647	7/8/86	George et al.	358/122	
	4,602,279	7/22/86	Freeman	358/86	
	4,603,232	7/29/86	Kurland et al.	179/2 AS	
	4,605,973	8/12/86	Von Kohorn	358/335	
	4,608,456	8/26/86	Paik et al.	179/1.5 S	
	4,613,901	9/23/86	Gilhousen et al.	358/122	
	4,616,263	10/7/86	Eichelberger	358/185	
	4,620,224	10/28/86	Lee et al.	358/119	

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	4,625,235	11/25/86	Watson	358/86	
	4,630,108	12/16/86	Gomersall	358/84	
	4,630,262	12/16/86	Callens et al.	370/81	
	4,634,807	1/6/87	Chorley et al.	178/22.08	
	4,634,808	1/6/87	Moerder	178/22.14	
	4,635,121	1/6/87	Hoffman et al.	358/188	
	4,638,181	1/20/87	Deiss	307/243	
	4,638,359	1/20/87	Watson	358/147	
	4,639,779	1/27/87	Greenberg	358/142	
	4,641,205	2/3/87	Beyers, Jr.	360/33.1	
	4,641,253	2/3/87	Mastran	364/328	
	4,641,307	2/3/87	Russell	370/60	
	4,646,075	2/24/87	Andrews et al.	340/747	
	4,647,964	3/3/87	Weinblatt	358/84	
	4,656,629	4/7/87	Kondoh et al.	370/85	
	4,658,093	4/14/87	Hellman	380/25	
	4,677,434	6/30/87	Fascenda	380/23	
	4,677,466	6/30/87	Lert, Jr. et al.	358/84	

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	4,677,611	6/30/87	Yanosy, Jr. et al.	370/85	
	4,680,581	7/14/87	Kozlik et al.	340/825.06	
	4,684,980	8/4/87	Rast et al.	358/86	
	4,685,056	8/4/87	Barnsdale, Jr. et al.	364/200	
	4,685,131	8/4/87	Horne	380/20	
	4,688,247	8/18/87	Davidov	380/19	
	4,689,022	8/25/87	Peers et al.	434/307	
	4,689,619	8/25/87	O'Brien, Jr.	340/825.08	
	4,692,817	9/8/87	Theis	360/12	
	4,692,819	9/8/87	Steele	360/72.1	
	4,694,491	9/15/87	Horne et al.	380/20	3/11/85
	4,695,953	9/22/87	Blair et al.	364/410	4/14/86
	4,696,034	9/22/87	Wiedemer	380/16	10/12/84
	4,697,281	9/29/87	O'Sullivan	379/59	3/14/86
	4,701,794	10/20/87	Fröling et al.	358/147	4/12/85
	4,706,121	11/10/87	Young	358/142	5/6/86
	B1 4,706,121	12/14/93	Young	358/142	5/6/86
	4,706,282	11/10/87	Knowd	380/3	12/23/85

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	4,707,828	11/17/87	Yamada	370/85	9/10/85
	4,709,418	11/24/87	Fox et al.	455/612	9/14/83
	4,710,955	12/1/87	Kauffman	380/10	11/25/85
	4,712,105	12/8/87	Köhler	340/825.69	4/30/85
	4,712,238	12/8/87	Gilhousen et al.	380/20	6/8/84
	4,712,239	12/8/87	Frezza et al.	380/20	6/16/86
	4,722,526	2/2/88	Tovar et al.	273/1 E	1/20/87
	4,724,491	2/9/88	Lambert	358/310	8/28/84
	4,725,886	2/16/88	Galumbeck et al.	358/147	4/21/83
	4,728,949	3/1/88	Platte et al.	340/825.37	3/23/84
	4,731,679	3/15/88	O'Gwynn et al.	360/73	9/20/84
	4,733,301	3/22/88	Wright, Jr.	358/181	6/26/87
	4,734,764	3/29/88	Pocock et al.	358/86	5/6/85
	4,737,993	4/12/88	DeVilbiss	455/180	1/21/87
	4,739,510	4/19/88	Jeffers et al.	380/15	4/2/87
	4,740,890	4/26/88	William	364/200	12/22/83
	4,745,468	5/17/88	Von Kohorn	358/84	3/10/86
	B1 4,745,468	6/11/91	Von Kohorn	358/84	3/10/86

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	4,745,598	5/17/88	Ulug	370/89	11/27/85
•	4,747,139	5/24/88	Taaffe	380/44	10/21/86
	4,748,560	5/31/88	Kataoka	364/200	10/11/85
	4,750,036	6/7/88	Martinez	358/147	5/14/86
	4,750,213	6/7/88	Novak	455/67	6/9/86
	4,751,578	6/14/88	Reiter et al.	358/183	5/28/85
	4,751,665	6/14/88	Cappello et al.	364/748	2/24/86
	4,754,326	6/28/88	Kram et al.	364/900	10/25/83
	4,755,871	7/5/88	Morales-Garza et al.	358/84	11/25/86
	4,755,883	7/5/88	Uehira	358/335	9/11/85
	4,761,646	8/2/88	Choquet et al.	340/825.520	5/20/86
	4,763,317	8/9/88	Lehman et al.	370/58	12/13/85
	4,768,087	8/30/88	Taub et al.	358/84	6/6/85
	4,768,110	8/30/88	Dunlap et al.	360/33.1	5/6/87
	4,771,456	9/13/88	Martin et al.	380/10	11/6/86
	4,775,935	10/4/88	Yourick	364/401	9/22/86
	4,783,846	11/8/88	Wachob	455/151	6/4/87
	4,786,979	11/22/88	Claus et al.	358/335	5/22/86

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	4,787,063	11/22/88	Muguet	364/900	10/16/85
	4,787,085	11/22/88	Suto et al.	370/110.1	5/29/87
	4,789,863	12/6/88	Bush	340/825.350	1/13/88***
	4,792,849	12/20/88	McCalley et al.	358/86	8/4/87
	4,792,973	12/20/88	Gilhousen et al.	380/24	12/4/87***
	4,802,114	1/31/89	Sogame	364/900	12/15/86
	4,803,725	2/7/89	Horne et al.	380/44	5/14/87
	4,805,014	2/14/89	Sahara et al.	358/86	9/14/87***
	4,812,843	3/14/89	Champion, III et al.	340/905	8/11/87
	4,813,011	3/14/89	Kulakowski et al.	364/900	5/13/85
	4,815,129	3/21/89	Griffin et al.	380/15	1/2/85
	4,816,905	3/28/89	Tweedy et al.	358/86	4/30/87
	4,821,032	4/11/89	Shimada et al.	340/825.210	12/23/85
	4,821,102	4/11/89	Ichikawa et al.	358/183	7/29/87
	4,827,508	5/2/89	Shear	380/4	10/14/86
	4,829,372	5/9/89	McCalley et al.	358/86	8/20/87
	4,833,710	5/23/89	Hirashima	380/20	12/3/87***
	4,837,858	6/6/89	Ablay et al.	455/34	4/30/87

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	4,845,491	7/4/89	Fascenda et al.	340/825.44	5/15/87
	4,847,698	7/11/89	Freeman	358/343	7/16/87
	4,847,699	7/11/89	Freeman	358/343	7/16/87
	4,847,700	7/11/89	Freeman	358/343	7/16/87
	4,860,379	8/22/89	Schoeneberger et al.	455/5	10/20/88***
	4,866,706	9/12/89	Christophersen et al.	370/85.7	8/27/87
	4,868,866	9/19/89	Williams, Jr.	380/49	2/18/88***
	4,876,592	10/24/89	Von Kohorn	358/84	5/10/88***
	4,887,172	12/12/89	Steele	360/73.06	4/10/87
	4,888,796	12/19/89	Olivo, Jr.	379/101	8/31/87
	4,890,321	12/26/89	Seth-Smith et al.	380/20	9/30/88***
	4,893,248	1/9/90	Pitts et al.	364/464.01	2/6/87
	4,908,713	3/13/90	Levine	358/335	6/29/88***
	4,916,539	4/10/90	Galumbeck	358/142	3/14/89***
	4,926,255	5/15/90	Von Kohorn	358/84	5/10/88***
	4,941,040	7/10/90	Pocock et al.	358/86	2/10/88***
	4,963,994	10/16/90	Levine	358/335	2/23/90***
	4,969,209	11/6/90	Schwob	455/158	6/29/88***

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	4,974,252	11/27/90	Osborne	379/92	10/4/88***
	4,977,594	12/11/90	Shear	380/4	2/16/89***
	5,010,571	4/23/91	Katznelson	380/4	9/10/86
	5,034,807	7/23/91	Von Kohorn	358/84	10/19/89***
	5,036,537	7/30/91	Jeffers et al.	380/20	4/3/87
	5,045,848	9/3/91	Fascenda	340/825.26	9/29/87***
	5,050,213	9/17/91	Shear	380/25	8/6/90***
	5,057,915	10/15/91	Von Kohorn	358/84	10/25/90***
	5,060,140	10/22/91	Brown et al.	364/200	1/16/86
	5,083,271	1/21/92	Thacher et al.	364/411	8/3/88***
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<sup>\*-</sup> If pertinent

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Examiner	Document	Publication	Country	Class /	Trans	lation
Initial	Number	Date		Subclass	Yes	No
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<sup>\*\*\*-</sup> Claims priority from parent application filed prior to Sep. 11, 1987.

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	Applicant(s) John C. Harvey and James W. Cuddihy	
Title: Signal Processing Apparatus and Method	Filing Date June 7, 1995	Group 2602

Examiner	
Initial	Author, Title, Date, Pertinent Pages, Etc.
	"Interactive Data Communication Network Services," product description, Equatorial Communications Company, 3 pages.
	"Data Communications Network Description," product description, Equatorial Communications Company, 5 pages.
	Landro, Laura, "Satellite Company Signs Merrill Lynch For Its Video Service," <u>The Wall Street Journal</u> , 1 page.
	"ELITE 2000 Creation System," IBM Compatible Information Display System, advertisement, Display Systems International, Inc., 1 page.
	"Video Database Management When Words Are Not Enough," advertisement, U.S. Video, 2 pages.
	"U.S. Video presents True Computer-Video Overlays," The Raster Master RM-110, product description, U.S. Video, 2 pages.
	"Now You Can Find Just The Right Image Every Time Quickly and Easily with Image Search and The IBM PC/XT," advertisement, ONLINE Computer Systems, Inc., 1 page.
	"Touch the Future Today," advertisement, MetaMedia Systems, Inc., 1 page.
	"Training solutions for the 80's and beyond," advertisement, ONLINE Computer Systems, Inc., 2 pages.
	"Experienced Educator/Trainers," "Use the new PILOT plus Training System to develop highly interactive courseware on your IBM PC that will run on most microcomputers," advertisement, ONLINE Computer Systems, Inc., 2 pages.

Examiner	Date Considered	
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Examiner	
Initial	Author, Title, Date, Pertinent Pages, Etc.
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	Corporation, 9 pages.
	"Museum Image Series," product information, ONLINE Products Corporation, 2 pages.
	"OMEGA VISION," product description, Omega Management Group Corp., 2 pages.
	"VISAGE Visual Information Systems," Interactive Video Products, brochure, Visage, Inc.
	"Now The Future Is Clear," Visage Visual Information Systems, brochure, Visage, Inc., 4 pages.
	"Speak Through The Power Of Today's Technology," QUEST, product description, Allen Communication, 4 pages.
	"Universal Video Controller," product description, Allen Communication, 2 pages.
	"Video-Microcomputer Interface," product description, Allen Communication, 2 pages.
	"The Leader In Interactive Video," advertisement, Allen Communication, 2 pages.
	"Allen Communication Price List," Allen Communication, 1 page.
	"TOUCHÉ Interactive videodisc training by IIAT," advertisement, IIAT, International Institute of Applied Technology, Inc., 1 page.
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Examiner		
Initial		
	"IIAT ST-1000A IIAT Training Station," product description, IIAT, of Applied Technology, Inc., 2 pages.	International Institute
	"IIAT ST-1000B IIAT Training Station," product description, IIAT, I of Applied Technology, Inc., 2 pages.	nternational Institute
<u></u>	"IIAT International Institute of Applied Technology, Inc.," company	y description, 4 pages.
****	"PILOT plus Course Authoring Interpreter," IIAT Products, produc	ct description, 1 page.
	"Touch Monitor/Videodisc Player Interface Card and Video Switch product description, 1 page.	n Box," IIAT Products,
	"Touch Sensitive Monitor Interface Card for Apple II," IIAT Production, 1 page.	cts, product
	"Touchpoint, A Total Eclipse of Existing Technology," product desc Communication, 2 pages.	cription, Allen
	"Totally Integrated Interactive System - TII-PC," product description Communication, 2 pages.	on, Allen
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	"jasmin," company brochure, Jasmin Electronics Limited, 4 pages.	
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Author, Title, Date, Pertinent Pages, Etc.
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"jasmin Process Control Systems," advertisement, Jasmin Electronics Limited, 4 pages.
"Teleprompter of Denver Channel Line Up," 2 pages.
"City of Seal Beach Channel Utilization Guide," 3 pages.
"V: Link 1910: The Single-Slot VGA Interactive Video Solution," product description, Visage, Inc., 4 pages.
"The OASYS Authoring System," advertisement, ONLINE Computer Systems, Inc., 1 page.
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"Cable Audience Measurement Study," A Prospectus based upon recommendations of the Ad Hoc Cable Measurement Committee, pamphlet.
Kane, Sharyn et al., "Technology in the First Person," reprint from Delta Air Lines' SKY magazine, 4 pages.
"Training Systems," brochure, WICAT systems, Training Systems Division, 4 pages.
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"Introducing SPOT DATA," "Cable Ad Sales Just Got Better," advertisement, TV Data Technologies, 4 pages.

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Author, Title, Date, Pertinent Pages, Etc.		
"Do You Want to be Making \$5-\$10 a Subscriber - Right Now?" "Join Us in Our Success!", advertisement, Multi-Image Systems, 1 page.		
"Mediastar," "the message is clear," brochure, Multi-Image Systems, 6 pages.		
"Art To Go" "The Business Builder in a Box," advertisement, Multi-Image Systems, 1 page.		
"Few Things In Life Work As Well As TAPSCAN," advertisement, TAPSCAN Incorporated, 6 pages.		
"Dow Jones Cable News Service Daily Features Financial Markets," product summary, 1 page.		
"Financial News Network The Business Connection," brochure, Financial News Network, 8 pages.		
"The Financial News Network Means Business," advertisement, The Financial News Network, 1 page.		
"The Dawn of a New Era in Financial News Broadcasting," advertisement, Financial News Network, 1 page.		
"FNN Financial News Network," advertisement, brief review of research from the Stanford Research Institute's VALS study, and research from ELRA Group Cablemark Reports Volume I, 4 pages.		
"Industrial Skills Training With The Touch Of A Finger Introducing Activ," Advanced Concepts in Touch-Interactive Video, advertisement, Industrial Training Corporation, 4 pages.		

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Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.
_	"eca," brochure, Effective Communication Arts, Inc., 4 pages.
	"ODC 612 Encoder/Generator," product description, Optical Disc Corporation, 2 pages.
	" the Recordable Laser Videodisc - RLV," product description, Optical Disc Corporation, 2 pages.
	"ODC 610 Videodisc Recording System," product description, Optical Disc Corporation, 2 pages.
	"Hitachi New CD-ROM Drive CDR-2500," product description, Hitachi, Ltd., 2 pages.
	"Hitachi CD-ROM Drive CDR-1502S," product description, Hitachi, Ltd., 6 pages.

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# ALL REFERENCES HAVE BEEN CONSIDERED

SEE PAPER # 15